



# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2018  
OF THE CONDITION AND AFFAIRS OF THE

## Aetna Health Inc. (a Maine corporation)

NAIC Group Code 0001 0001 NAIC Company Code 95517 Employer's ID Number 01-0504252  
(Current) (Prior)

Organized under the Laws of Maine State of Domicile or Port of Entry ME

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ ] No [X]

Incorporated/Organized 10/03/1995 Commenced Business 04/10/1996

Statutory Home Office 175 Running Hill Road, Suite 301 South Portland, ME, US 04106-3220  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 3721 Tecport Drive  
(Street and Number)  
Harrisburg, PA, US 17111 800-872-3862  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 67103-7103 Harrisburg, PA, US 17106-7103  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 3721 Tecport Drive  
(Street and Number)  
Harrisburg, PA, US 17111 800-872-3862  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.aetna.com

Statutory Statement Contact Frank Ferris Chronister III 717-541-5742  
(Name) (Area Code) (Telephone Number)  
StatutoryReporting@aetna.com 717-526-2868  
(E-mail Address) (FAX Number)

### OFFICERS

President Mark Costa Santos Principal Financial Officer and Controller Steven Matthew Conte #  
Vice President and Secretary Edward Chung-I Lee

### OTHER

Kevin James Casey, Senior Investment Officer  
Gregory Stephen Martino, Vice President

John Patrick Maroney, Vice President and Treasurer  
Cara Sue Mullen, Assistant Controller #

### DIRECTORS OR TRUSTEES

Louise Alta McCleery

Spence William Papke #

Mark Costa Santos

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Mark Costa Santos  
President

Edward Chung-I Lee  
Vice President and Secretary

Steven Matthew Conte  
Principal Financial Officer and Controller

State of..... Connecticut  
County of..... Hartford

State of..... Connecticut  
County of..... Hartford

State of..... Pennsylvania  
County of..... Montgomery

Subscribed and sworn to before me this  
23rd day of October, 2018

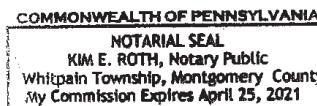
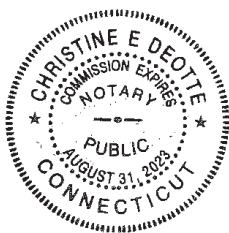
Subscribed and sworn to before me this  
29th day of Oct., 2018

Subscribed and sworn to before me this  
5th day of November, 2018

Jennifer S. Gossett  
NOTARY PUBLIC (Seal)

Christine E. Deotte  
NOTARY PUBLIC (Seal)

K  
NOTARY PUBLIC (Seal)



- a. Is this an original filing? ..... Yes [X] No [ ]  
b. If no,  
1. State the amendment number .....  
2. Date filed .....  
3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	26,698,153	0	26,698,153	24,939,445
2. Stocks:				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ .....0 encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ .....0 encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ .....0 encumbrances) .....	0	0	0	0
5. Cash (\$ .....0 ), cash equivalents (\$ .....7,889,038 ) and short-term investments (\$ .....0 ) .....	7,889,038	0	7,889,038	18,485
6. Contract loans (including \$ .....0 premium notes) .....	0	0	0	0
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	0	0	0	0
9. Receivables for securities .....	0	0	0	0
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	34,587,191	0	34,587,191	24,957,930
13. Title plants less \$ .....0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	183,078	0	183,078	102,837
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	2,130,399	225,168	1,905,231	2,190,164
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ .....0 earned but unbilled premiums) .....	0	0	0	0
15.3 Accrued retrospective premiums (\$ .....893,606 ) and contracts subject to redetermination (\$ .....0 ) .....	893,606	0	893,606	502,677
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	527	0	527	15,734
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0	0
17. Amounts receivable relating to uninsured plans .....	356,994	0	356,994	117,883
18.1 Current federal and foreign income tax recoverable and interest thereon .....	0	0	0	380,345
18.2 Net deferred tax asset .....	92,581	0	92,581	92,581
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ .....0 ) .....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	0	0	0	0
24. Health care (\$ .....336,167 ) and other amounts receivable .....	336,167	0	336,167	267,843
25. Aggregate write-ins for other than invested assets .....	0	0	0	233,184
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	38,580,543	225,168	38,355,375	28,861,178
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Total (Lines 26 and 27)	38,580,543	225,168	38,355,375	28,861,178
DETAILS OF WRITE-INS				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. Current State Income Tax Receivable .....	0	0	0	233,184
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	0	0	0	233,184

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ .....17,056 reinsurance ceded) .....	4,790,603	168,479	4,959,082	5,980,497
2. Accrued medical incentive pool and bonus amounts .....	1,822,694	0	1,822,694	1,945,005
3. Unpaid claims adjustment expenses .....	63,116	0	63,116	88,616
4. Aggregate health policy reserves, including the liability of \$ .....2,046,990 for medical loss ratio rebate per the Public Health Service Act .....	2,513,347	0	2,513,347	2,182,405
5. Aggregate life policy reserves .....	0	0	0	0
6. Property/casualty unearned premium reserve .....	0	0	0	0
7. Aggregate health claim reserves .....	19,672	0	19,672	39,111
8. Premiums received in advance .....	11,799	0	11,799	26,921
9. General expenses due or accrued .....	1,634,041	0	1,634,041	26,761
10.1 Current federal and foreign income tax payable and interest thereon (including \$ .....0 on realized gains (losses)) .....	552,902	0	552,902	0
10.2 Net deferred tax liability .....	0	0	0	0
11. Ceded reinsurance premiums payable .....	0	0	0	0
12. Amounts withheld or retained for the account of others.....	0	0	0	0
13. Remittances and items not allocated .....	0	0	0	0
14. Borrowed money (including \$ .....0 current) and interest thereon \$ .....0 (including \$ .....0 current) .....	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates .....	4,841,443	0	4,841,443	677,428
16. Derivatives .....	0	0	0	0
17. Payable for securities .....	0	0	0	0
18. Payable for securities lending .....	0	0	0	0
19. Funds held under reinsurance treaties (with \$ .....0 authorized reinsurers, \$ .....18,969 unauthorized reinsurers and \$ .....0 certified reinsurers).....	18,969	0	18,969	48,534
20. Reinsurance in unauthorized and certified (\$ .....0 ) companies .....	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....	0	0	0	0
22. Liability for amounts held under uninsured plans .....	515,581	0	515,581	298,744
23. Aggregate write-ins for other liabilities (including \$ .....0 current) .....	0	0	0	0
24. Total liabilities (Lines 1 to 23) .....	16,784,167	168,479	16,952,646	11,314,022
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	0	1,564,000
26. Common capital stock .....	XXX	XXX	1,000,000	1,000,000
27. Preferred capital stock .....	XXX	XXX	0	0
28. Gross paid in and contributed surplus .....	XXX	XXX	8,700,000	8,700,000
29. Surplus notes .....	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	11,702,729	6,283,156
32. Less treasury stock, at cost:				
32.1 .....0 shares common (value included in Line 26 \$ .....0 ) .....	XXX	XXX	0	0
32.2 .....0 shares preferred (value included in Line 27 \$ .....0 ) .....	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	21,402,729	17,547,156
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	38,355,375	28,861,178
DETAILS OF WRITE-INS				
2301. ....				
2302. ....				
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page .....	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	0	0	0	0
2501. Estimated Health Insurer Fee .....	XXX	XXX	0	1,564,000
2502. ....	XXX	XXX		
2503. ....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	1,564,000
3001. ....	XXX	XXX		
3002. ....	XXX	XXX		
3003. ....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX	79,033	100,381	133,929
2. Net premium income ( including \$ .....0 non-health premium income).....	XXX	52,211,448	59,296,867	79,027,743
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	(812,888)	(243,184)	(1,221,117)
4. Fee-for-service (net of \$ .....0 medical expenses).....	XXX	0	0	0
5. Risk revenue .....	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	51,398,560	59,053,683	77,806,626
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....	630,488	30,305,900	33,744,166	46,450,140
10. Other professional services .....	0	0	0	0
11. Outside referrals .....	602,161	602,161	654,004	1,106,074
12. Emergency room and out-of-area .....	83,894	2,097,350	2,448,322	3,158,478
13. Prescription drugs .....	102,317	5,099,098	7,556,780	10,338,525
14. Aggregate write-ins for other hospital and medical .....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts .....	0	193,867	1,626,647	621,721
16. Subtotal (Lines 9 to 15) .....	1,418,860	38,298,376	46,029,919	61,674,938
<b>Less:</b>				
17. Net reinsurance recoveries .....	0	196,062	200,199	274,148
18. Total hospital and medical (Lines 16 minus 17) .....	1,418,860	38,102,314	45,829,720	61,400,790
19. Non-health claims (net) .....	0	0	0	0
20. Claims adjustment expenses, including \$ .....677,935 cost containment expenses .....	0	796,051	1,066,247	1,308,288
21. General administrative expenses .....	0	7,611,146	6,662,819	9,311,687
22. Increase in reserves for life and accident and health contracts (including \$ .....0 increase in reserves for life only) .....	0	0	0	0
23. Total underwriting deductions (Lines 18 through 22).....	1,418,860	46,509,511	53,558,786	72,020,765
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	XXX	4,889,049	5,494,897	5,785,861
25. Net investment income earned .....	0	527,296	457,368	589,771
26. Net realized capital gains (losses) less capital gains tax of \$ .....(26,855) .....	0	(33,533)	(2,408)	13,789
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	493,763	454,960	603,560
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ .....0 ) (amount charged off \$ .....0 )].....	0	0	0	0
29. Aggregate write-ins for other income or expenses .....	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	5,382,812	5,949,857	6,389,421
31. Federal and foreign income taxes incurred .....	XXX	1,326,781	2,091,336	1,800,566
32. Net income (loss) (Lines 30 minus 31) .....	XXX	4,056,031	3,858,521	4,588,855
<b>DETAILS OF WRITE-INS</b>				
0601. ....	XXX			
0602. ....	XXX			
0603. ....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....	XXX	0	0	0
0701. ....	XXX			
0702. ....	XXX			
0703. ....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....	XXX	0	0	0
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....	0	0	0	0
2901. ....				
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) .....	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	17,547,156	15,522,822	15,522,822
34. Net income or (loss) from Line 32 .....	4,056,031	3,858,521	4,588,855
35. Change in valuation basis of aggregate policy and claim reserves .....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....0	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss) .....	0	0	0
38. Change in net deferred income tax .....	0	263,077	(115,623)
39. Change in nonadmitted assets .....	(200,458)	5,698	1,102
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in .....	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in .....	0	0	0
45.2 Transferred to capital (Stock Dividend) .....	0	0	0
45.3 Transferred from capital .....	0	0	0
46. Dividends to stockholders .....	0	0	(2,450,000)
47. Aggregate write-ins for gains or (losses) in surplus .....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47) .....	3,855,573	4,127,296	2,024,334
49. Capital and surplus end of reporting period (Line 33 plus 48)	21,402,729	19,650,118	17,547,156
DETAILS OF WRITE-INS			
4701. ....			
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	51,407,926	62,730,509	77,802,070
2. Net investment income .....	373,845	325,374	463,172
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	51,781,771	63,055,883	78,265,242
5. Benefit and loss related payments .....	39,318,596	45,155,567	61,880,652
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	6,614,507	6,847,088	11,189,795
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ .....0 tax on capital gains (losses) .....	366,679	1,002,398	1,562,486
10. Total (Lines 5 through 9) .....	46,299,782	53,005,053	74,632,933
11. Net cash from operations (Line 4 minus Line 10) .....	5,481,989	10,050,830	3,632,309
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	6,124,579	87,022	2,397,018
12.2 Stocks .....	0	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	(30)	(4)	(49)
12.7 Miscellaneous proceeds .....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	6,124,549	87,018	2,396,969
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	7,870,435	3,498,795	6,493,495
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	7,870,435	3,498,795	6,493,495
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(1,745,886)	(3,411,777)	(4,096,526)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	2,450,000
16.6 Other cash provided (applied) .....	4,134,450	(7,156,874)	(681,458)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	4,134,450	(7,156,874)	(3,131,458)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	7,870,553	(517,821)	(3,595,675)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	18,485	3,614,160	3,614,160
19.2 End of period (Line 18 plus Line 19.1) .....	7,889,038	3,096,339	18,485

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. ....	0	0	0
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1  Total	Comprehensive (Hospital & Medical)		4  Medicare Supplement	5  Vision Only	6  Dental Only	7  Federal Employees Health Benefit Plan	8  Title XVIII Medicare	9  Title XIX Medicaid	10  Other
		2  Individual	3  Group							
Total Members at end of:										
1. Prior Year .....	11,167	885	3,928	0	0	0	0	6,354	0	0
2. First Quarter .....	8,988	0	2,851	0	0	0	0	6,137	0	0
3. Second Quarter .....	8,677	0	2,474	0	0	0	0	6,203	0	0
4. Third Quarter .....	8,450	0	2,191	0	0	0	0	6,259	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	79,033	0	23,435	0	0	0	0	55,598	0	0
Total Member Ambulatory Encounters for Period:										
7. Physician .....	139,785	0	18,707	0	0	0	0	121,078	0	0
8. Non-Physician .....	94,048	0	17,150	0	0	0	0	76,898	0	0
9. Total	233,833	0	35,857	0	0	0	0	197,976	0	0
10. Hospital Patient Days Incurred	4,888	0	366	0	0	0	0	4,522	0	0
11. Number of Inpatient Admissions	709	0	83	0	0	0	0	626	0	0
12. Health Premiums Written (a) .....	52,354,000	(100,176)	10,604,418	0	0	0	0	41,849,758	0	0
13. Life Premiums Direct .....	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written .....	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned .....	51,541,112	(100,176)	10,599,250	0	0	0	0	41,042,038	0	0
16. Property/Casualty Premiums Earned .....	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	39,550,944	615,980	8,484,293	0	0	0	0	30,450,671	0	0
18. Amount Incurred for Provision of Health Care Services	38,298,375	12,619	7,619,068	0	0	0	0	30,666,688	0	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 41,849,758

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]



UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5  Claims Incurred in Prior Years (Columns 1 + 3)	6  Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1  On Claims Incurred Prior to January 1 of Current Year	2  On Claims Incurred During the Year	3  On Claims Unpaid Dec. 31 of Prior Year	4  On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	1,892,317	6,745,166	95,077	1,258,349	1,987,394	2,847,236
2. Medicare Supplement .....	0	0	0	0	0	0
3. Dental Only .....	0	0	0	0	0	0
4. Vision Only .....	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan .....	0	0	0	0	0	0
6. Title XVIII - Medicare .....	2,776,267	27,603,873	75,446	3,549,881	2,851,713	3,172,372
7. Title XIX - Medicaid .....	0	0	0	0	0	0
8. Other health .....	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8) .....	4,668,584	34,349,039	170,523	4,808,230	4,839,107	6,019,608
10. Healthcare receivables (a) .....	0	336,166	0	0	0	267,843
11. Other non-health .....	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts .....	2,264	313,914	2,834,220	(1,011,526)	2,836,484	1,945,005
13. Totals (Lines 9-10+11+12)	4,670,848	34,326,787	3,004,743	3,796,704	7,675,591	7,696,770

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying statutory financial statements of Aetna Health Inc. (a Maine corporation) ("the Company"), indirectly a wholly-owned subsidiary of Aetna Inc. ("Aetna"), have been prepared in conformity with accounting practices prescribed or permitted by the Maine Department of Professional and Financial Regulation, Bureau of Insurance ("Maine Department") ("Maine Accounting Practices"). The Maine Department recognizes only statutory accounting practices prescribed or permitted by the State of Maine for determining and reporting the financial condition and results of operations of an insurance company, which include accounting practices and procedures adopted by the National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP").

A reconciliation of the Company's net income and surplus between NAIC SAP and practices prescribed and permitted by the State of Maine for the periods ended September 30, 2018 and December 31, 2017 is as follows:

	SSAP #	F/S Page	F/S Line #	2018	2017
NET INCOME					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	4,056,031	4,588,855
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(3) State Permitted Practices that increase/(decrease) NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	4,056,031	4,588,855
SURPLUS					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	21,402,729	17,547,156
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(7) State Permitted Practices that increase/(decrease) NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	21,402,729	17,547,156

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of these financial statements in conformity with Maine Accounting Practices requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and revenues and expenses. Actual results could differ from those estimates.

C. Accounting Policies

(1) through (5) - No significant change.

(6) Securities Lending

The Company engages in securities lending by lending certain securities from its investment portfolio to other institutions for short periods of time. Borrowers must post cash collateral in the amount of 102% to 105% of the fair value of a loaned security. The fair value of the loaned securities is monitored on a daily basis, with additional collateral obtained or refunded as the fair value of the loaned

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)  
securities fluctuates. The collateral is retained and invested by a lending agent according to the Company's guidelines to generate additional investment income for the Company. Pursuant to Statements of Statutory Accounting Principles ("SSAP") No. 103R - *Transfers and Servicing of Financial Assets and Extinguishments of Liabilities* ("SSAP No. 103R"), collateral required under the Company's securities lending program is carried on the Company's Statutory Statements of Assets and Liabilities, Capital and Surplus as both a receivable and payable. Also pursuant to SSAP No. 103R, if the collateral received from a counterparty is less than 100 percent at the reporting date, the difference between the actual collateral and 100 percent is nonadmitted. Collateral value is measured and compared to the loaned securities in aggregate by counterparty. The Company did not have any loaned securities at September 30, 2018.

(7) through (18) - No significant change.

(19) Risk Adjustment

In July 2018, the Centers for Medicare & Medicaid Services ("CMS") announced that a February 2018 U.S. District Court ruling prevents CMS from making further collections or payments under the Patient Protection and Affordable Care Act and the Health Care and Education Reconciliation Act of 2010 (the "ACA") risk adjustment program for the 2014 through 2018 benefit years. CMS subsequently announced that it had taken administrative action which allows the resumption of 2017 benefit year risk adjustment operations and is seeking a resolution with respect to the 2018 benefit year. As a result, the Company continues to believe the amounts due to it are collectible.

(20) through (21) - No significant change.

D. Going Concern

As of November 13, 2018, management has evaluated whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Company's ability to continue as a going concern and management has determined that it is not probable that the Company will be unable to meet its obligations as they become due within one year after the financial statements are available to be issued. Management will continuously evaluate the Company's ability to continue as a going concern and will take appropriate action and will make appropriate disclosures if there is any change in any condition or events that would raise substantial doubt about the Company's ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

The Company did not have any accounting changes or corrections of errors in the period ended September 30, 2018.

3. Business Combinations and Goodwill

No significant change.

4. Discontinued Operations

No significant change.

5. Investments

A. - C: No significant change.

D. Loan-Backed Securities

(1) Prepayment assumptions for single class and multi-class mortgage backed/asset backed securities were obtained from industry market sources.

(2) The Company had no other-than-temporary impairment ("OTTI") losses during the third quarter of 2018 on loan-backed and structured securities in which the Company had the (1) intent to sell, (2) did not have the intent and ability to retain for a period of time sufficient to recover the amortized cost basis or (3) present value of cash flows expected to be collected is less than the amortized cost basis of the securities in accordance with Statements of Statutory Accounting Principles ("SSAP") No. 43R, *Loan-Backed and Structured Securities* ("SSAP No. 43R").

- (3) The Company had no recognized OTTI on loan-backed and structured securities currently held, in which the present value of cash flows expected to be collected is less than the amortized cost basis, at the reporting date September 30, 2018.
- (4) The Company had no unrealized loss position on loan-backed and structured securities held by the Company at September 30, 2018.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(1) and (2): No significant change.

- (3) Neither the Company nor its agent has accepted collateral that is permitted by contract or custom to sell or repledge as of September 30, 2018.

(4) through (7): No significant change.

F. The Company did not have any repurchase agreements transactions accounted for as secured borrowing at September 30, 2018.

G. The Company did not have any reverse repurchase agreements transactions accounted for as secured at September 30, 2018.

H. The Company did not have any repurchase agreements transactions accounted for as a sale at September 30, 2018.

I. The Company did not have any reverse repurchase agreements transactions accounted for as a sale at September 30, 2018.

J. through L: No significant change.

M. The Company did not have any working capital finance investments at September 30, 2018.

N. The Company did not have any offsetting and netting of financial assets or liabilities at September 30, 2018.

O. through R: No significant change.

6. Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

7. Investment Income

No significant change.

8. Derivative Instruments

No significant change.

9. Income Taxes

No significant change.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant change.

11. Debt

A. The Company did not have any items related to debt, including capital notes at September 30, 2018.

B. The Company did not have any Federal Home Loan Bank agreements at September 30, 2018.

12. Retirement Plans, Deferred Compensation Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

The Company did not have a retirement plan, deferred compensation plan, or other postretirement benefit plan at September 30, 2018.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

14. Liabilities, Contingencies and Assessments

No significant change.

15. Leases

No significant change.

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

No significant change.

B. Transfer and Servicing of Financial Assets

(1) No significant change.

(2) and (3): The Company did not have any servicing assets or liabilities at September 30, 2018.

(4) The Company did not have any securitized financial assets at September 30, 2018.

(5) through (7): No significant change.

C. Wash Sales

(1) The Company did not have any wash sales for the period ended September 30, 2018.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

20. Fair Value Measurements

A. and B.

The Company had no material assets or liabilities measured and reported at fair value at September 30, 2018

C. Certain of the Company's financial instruments are measured at fair value in the financial statements. The fair values of these instruments are based on valuations that include inputs that can be classified within one of three levels of a hierarchy established by U.S. generally accepted accounting principles. The following are the levels of the hierarchy and a brief description of the type of valuation information ("inputs") that qualifies a financial asset or liability for each level:

**Level 1** - Unadjusted quoted prices for identical assets or liabilities in active markets.

**Level 2** - Inputs other than Level 1 that are based on observable market data. These include: quoted prices for similar assets in active markets, quoted prices for identical assets in inactive markets, inputs that are observable that are not prices (such as interest rates and credit risks) and inputs that are derived from or corroborated by observable markets.

**Level 3** - Developed from unobservable data, reflecting our own assumptions.

Financial assets and liabilities are classified based upon the lowest level of input that is significant to the valuation. When quoted prices in active markets for identical assets and liabilities are available, we use these quoted market prices to determine the fair value of financial assets and liabilities and classify these assets and liabilities as Level 1. In other cases where a quoted market price for identical assets and liabilities in an active market is either not available or not observable, we estimate fair value using valuation methodologies based on available and observable market information or by using a matrix pricing model. These financial assets and liabilities would then be classified as Level 2. If quoted market prices are not available, we determine fair value using broker quotes or an internal analysis of each investment's financial performance and cash flow projections. Thus, financial assets and liabilities may be classified in Level 3 even though there may be some significant inputs that may be observable.

The carrying values and estimated fair values of the Company's financial instruments at September 30, 2018 were as follows:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)	Net Asset Value (NAV) Included in Level 2
Bonds, Short Term, and Cash Equivalents	34,463,356	34,587,191	9,349,422	25,113,934			

There were no material realized and unrealized capital gains, purchases, sales, settlements, or transfers into or out of the Company's Level 3 financial assets at September 30, 2018. There were no transfers between the Company's Level 1 or 2 financial assets at September 30, 2018.

In evaluating the Company's management of interest rate and liquidity risk and currency exposures, the fair values of all assets and liabilities should be taken into consideration, not only those presented above.

D. The Company did not have any financial instruments where it was not practicable to estimate the fair value.

21. Other Items

No significant change.

22. Events Subsequent

A. Type I - Recognized Subsequent Events

Subsequent events have been considered through November 13, 2018 for the statutory statement issued on November 14, 2018.

The Company had no known reportable recognized subsequent events.

B. Type II - Non-Recognized Subsequent Events

Subsequent events have been considered through November 13, 2018 for the statutory statement issued on November 14, 2018.

On January 1, 2018, the Company was subject to an annual fee under section 9010 of the Federal Affordable Care Act ("ACA"). This annual fee was allocated to individual health insurers based on the ratio of the amount of the entity's net premiums written during the preceding calendar year to the amount of health insurance for any U.S. health risk that is written during the preceding calendar year. A health insurance entity's portion of the annual fee becomes payable once the entity provides health insurance for any U.S. health risk for each calendar year beginning on or after January 1 of the year the fee is due. On October 1, 2018, the Company paid \$1,563,536 to the federal government for its portion of the health insurer fee payable on October 1, 2018.

23. Reinsurance

No significant change.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

A. through D: No significant change.

E. Risk Sharing Provisions of the Affordable Care Act

- (1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)? Yes [ X ] No [ ]
- (2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year:

	AMOUNT
a. Permanent ACA Risk Adjustment Program	
Assets	
1. Premium adjustments receivable due to ACA Risk Adjustment	395,130
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	992
3. Premium adjustments payable due to ACA Risk Adjustment	167,366
Operations (Revenue & Expense)	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	135,744
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	465
b. Transitional ACA Reinsurance Program	
Assets	
1. Amounts recoverable for claims paid due to ACA Reinsurance	527
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	
Liabilities	
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	
5. Ceded reinsurance premiums payable due to ACA Reinsurance	
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	
Operations (Revenue & Expense)	
7. Ceded reinsurance premiums due to ACA Reinsurance	
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	
9. ACA Reinsurance contributions – not reported as ceded premium	
c. Temporary ACA Risk Corridors Program	
Assets	
1. Accrued retrospective premium due to ACA Risk Corridors	
Liabilities	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	
Operations (Revenue & Expense)	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	
4. Effect of ACA Risk Corridors on change in reserves for rate credits	

- (3) Roll forward of prior year ACA risk sharing provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance:

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

	Accrued During the Prior Year on Business Written		Received or Paid as of the Current Year on Business Written		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
	Before December 31 of the Prior Year		Written Before December 31 of the Prior Year		Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	11,622				11,622	—	383,509		A	395,131	—
2. Premium adjustments (payable)		781,458		861,857	—	(80,399)		80,399	B	—	—
3. Subtotal ACA Permanent Risk Adjustment Program	11,622	781,458	—	861,857	11,622	(80,399)	383,509	80,399		395,131	—
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	15,734		15,207		527	—			C	527	—
2. Amounts recoverable for claims unpaid (contra liability)					—	—			D	—	—
3. Amounts receivable relating to uninsured plans					—	—			E	—	—
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium					—	—			F	—	—
5. Ceded reinsurance premiums payable					—	—			G	—	—
6. Liability for amounts held under uninsured plans					—	—			H	—	—
7. Subtotal ACA Transitional Reinsurance Program	15,734	—	15,207	—	527	—	—	—		527	—
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium					—	—			I	—	—
2. Reserve for rate credits or policy experience rating refunds					—	—			J	—	—
3. Subtotal ACA Risk Corridors Program	—	—	—	—	—	—	—	—		—	—
d. Total for ACA Risk Sharing Provisions	27,356	781,458	15,207	861,857	12,149	(80,399)	383,509	80,399		395,658	—

Explanations of Adjustments

- A. Due to updates to the data available to the Company to calculate the risk adjustment.
- B. Due to updates to the data available to the Company to calculate the risk adjustment.
- C. Due to additional claims run-out after the December 31, 2016 period.
- D.
- E.
- F.
- G.
- H.
- I.
- J.

- (4) There is no roll-forward of Risk Corridor Asset and Liability Balances by Program Benefit Year.
- (5) There is no roll-forward of ACA Risk Corridors Receivable as of Reporting Date.

25. Change in Incurred Claims and Claims Adjustment Expenses

Reserves as of December 31, 2017 were \$8,053,229. As of September 30, 2018, \$4,706,194 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$2,976,860 as a result of re-estimation of unpaid claims and claim adjustment expenses principally on comprehensive and Medicare lines of insurance. Therefore, there has been a decrease of \$102,332 favorable prior year development from December 31, 2017 to September 30, 2018. The resulting development does not consider health care receivables. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this increase, the Company experienced \$1,090,009 of unfavorable prior year claim development on retrospectively rated policies. However, the business to which it relates is subject to premium adjustments.



26. Intercompany Pooling Arrangements

No significant change.

27. Structured Settlements

No significant change.

28. Health Care Receivables

No significant change.

29. Participating Policies

No significant change.

30. Premium Deficiency Reserves

No significant change.

31. Anticipated Salvage and Subrogation

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? .....

Yes [ ☐ ] No [ ☒ ]

1.2

If yes, has the report been filed with the domiciliary state? .....

Yes [ ☐ ] No [ ☐ ]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .....

Yes [ ☐ ] No [ ☒ ]

2.2

If yes, date of change: .....

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .....

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [ ☒ ] No [ ☐ ]

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end? .....

Yes [ ☒ ] No [ ☐ ]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.  
On June 29, 2018, member interest in Medicity LLC and its subsidiaries (Novo Innovations, LLC and Allviant Corporation) was transferred to Health Catalyst. On July 11, 2018, Canadian Insurance Company changed its name to Aetna Insurance (Hong Kong) Limited. On August 9, 2018, Aetna Partners Diversified Fund (Cayman) Ltd. was dissolved.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group? .....

Yes [ ☒ ] No [ ☐ ]

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....

0001122304

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .....

Yes [ ☐ ] No [ ☒ ]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ☐ ] No [ ☒ ] N/A [ ☐ ]  
If yes, attach an explanation.

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made. ....

12/31/2017

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....

12/31/2013

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....

10/30/2015

6.4

By what department or departments?  
Maine Bureau of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]

6.6

Have all of the recommendations within the latest financial examination report been complied with? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .....

Yes [ ☐ ] No [ ☒ ]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? .....

Yes [ ☐ ] No [ ☒ ]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms? .....

Yes [ ☐ ] No [ ☒ ]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships; (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity; (c) Compliance with applicable governmental laws, rules and regulations; (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and (e) Accountability for adherence to the code.

Yes [ X ] No [ ]
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [ ] No [ X ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [ ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [ ] No [ X ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$0

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [ ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$0
13.

Amount of real estate and mortgages held in short-term investments:

\$0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [ ] No [ X ]
- 14.2

If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$0
14.22 Preferred Stock	\$0	\$0
14.23 Common Stock	\$0	\$0
14.24 Short-Term Investments	\$0	\$0
14.25 Mortgage Loans on Real Estate	\$0	\$0
14.26 All Other	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$0	\$0
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [ ] No [ X ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

Yes [ ] No [ ]

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$

0
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$

0
- 16.3

Total payable for securities lending reported on the liability page.

\$

0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes ☒ No ☐

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank and Trust Company	State Street Financial Center; One Lincoln Street; Boston, MA 02111-2900

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
All agreements comply.		

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ☐ No ☒

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Kevin J. Casey as Sr. Investment Officer	A

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes ☐ No ☒

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes ☐ No ☒

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
N/A	Kevin J. Casey	N/A	Not registered	NO

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes ☒ No ☐

- 18.2 If no, list exceptions:

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:
- a.

Documentation necessary to permit a full credit analysis of the security does not exist.
- b.

Issuer or obligor is current on all contracted interest and principal payments.
- c.

The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5\*GI securities? Yes ☐ No ☒

GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

75.5 %

1.2 A&H cost containment percent

1.3 %

1.3 A&H expense percent excluding cost containment expenses

15.0 %
- 2.1

Do you act as a custodian for health savings accounts?

Yes [ ] No [ X ]
- 2.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$.0
- 2.3

Do you act as an administrator for health savings accounts?

Yes [ ] No [ X ]
- 2.4

If yes, please provide the balance of the funds administered as of the reporting date

\$.0
3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [ ] No [ X ]
- 3.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [ ] No [ X ]

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories									
States, etc.	1	Direct Business Only							
	Active Status (a)	2	3	4	5	6	7	8	9
		Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1. Alabama..... AL	N	0	0	0	0	0	0	0	0
2. Alaska..... AK	N	0	0	0	0	0	0	0	0
3. Arizona..... AZ	N	0	0	0	0	0	0	0	0
4. Arkansas..... AR	N	0	0	0	0	0	0	0	0
5. California..... CA	N	0	0	0	0	0	0	0	0
6. Colorado..... CO	N	0	0	0	0	0	0	0	0
7. Connecticut..... CT	N	0	0	0	0	0	0	0	0
8. Delaware..... DE	N	0	0	0	0	0	0	0	0
9. District of Columbia..... DC	N	0	0	0	0	0	0	0	0
10. Florida..... FL	N	0	0	0	0	0	0	0	0
11. Georgia..... GA	N	0	0	0	0	0	0	0	0
12. Hawaii..... HI	N	0	0	0	0	0	0	0	0
13. Idaho..... ID	N	0	0	0	0	0	0	0	0
14. Illinois..... IL	N	0	0	0	0	0	0	0	0
15. Indiana..... IN	N	0	0	0	0	0	0	0	0
16. Iowa..... IA	N	0	0	0	0	0	0	0	0
17. Kansas..... KS	N	0	0	0	0	0	0	0	0
18. Kentucky..... KY	N	0	0	0	0	0	0	0	0
19. Louisiana..... LA	N	0	0	0	0	0	0	0	0
20. Maine..... ME	L	10,504,242	41,849,758	0	0	0	0	52,354,000	0
21. Maryland..... MD	N	0	0	0	0	0	0	0	0
22. Massachusetts..... MA	N	0	0	0	0	0	0	0	0
23. Michigan..... MI	N	0	0	0	0	0	0	0	0
24. Minnesota..... MN	N	0	0	0	0	0	0	0	0
25. Mississippi..... MS	N	0	0	0	0	0	0	0	0
26. Missouri..... MO	N	0	0	0	0	0	0	0	0
27. Montana..... MT	N	0	0	0	0	0	0	0	0
28. Nebraska..... NE	N	0	0	0	0	0	0	0	0
29. Nevada..... NV	N	0	0	0	0	0	0	0	0
30. New Hampshire..... NH	N	0	0	0	0	0	0	0	0
31. New Jersey..... NJ	N	0	0	0	0	0	0	0	0
32. New Mexico..... NM	N	0	0	0	0	0	0	0	0
33. New York..... NY	N	0	0	0	0	0	0	0	0
34. North Carolina..... NC	N	0	0	0	0	0	0	0	0
35. North Dakota..... ND	N	0	0	0	0	0	0	0	0
36. Ohio..... OH	N	0	0	0	0	0	0	0	0
37. Oklahoma..... OK	N	0	0	0	0	0	0	0	0
38. Oregon..... OR	N	0	0	0	0	0	0	0	0
39. Pennsylvania..... PA	N	0	0	0	0	0	0	0	0
40. Rhode Island..... RI	N	0	0	0	0	0	0	0	0
41. South Carolina..... SC	N	0	0	0	0	0	0	0	0
42. South Dakota..... SD	N	0	0	0	0	0	0	0	0
43. Tennessee..... TN	N	0	0	0	0	0	0	0	0
44. Texas..... TX	N	0	0	0	0	0	0	0	0
45. Utah..... UT	N	0	0	0	0	0	0	0	0
46. Vermont..... VT	N	0	0	0	0	0	0	0	0
47. Virginia..... VA	N	0	0	0	0	0	0	0	0
48. Washington..... WA	N	0	0	0	0	0	0	0	0
49. West Virginia..... WV	N	0	0	0	0	0	0	0	0
50. Wisconsin..... WI	N	0	0	0	0	0	0	0	0
51. Wyoming..... WY	N	0	0	0	0	0	0	0	0
52. American Samoa..... AS	N	0	0	0	0	0	0	0	0
53. Guam..... GU	N	0	0	0	0	0	0	0	0
54. Puerto Rico..... PR	N	0	0	0	0	0	0	0	0
55. U.S. Virgin Islands..... VI	N	0	0	0	0	0	0	0	0
56. Northern Mariana Islands..... MP	N	0	0	0	0	0	0	0	0
57. Canada..... CAN	N	0	0	0	0	0	0	0	0
58. Aggregate Other Aliens..... OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	10,504,242	41,849,758	0	0	0	0	52,354,000	0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0
61. Totals (Direct Business)	XXX	10,504,242	41,849,758	0	0	0	0	52,354,000	0
DETAILS OF WRITE-INS									
58001.....	XXX								
58002.....	XXX								
58003.....	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....1

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0

N - None of the above - Not allowed to write business in the state.....56

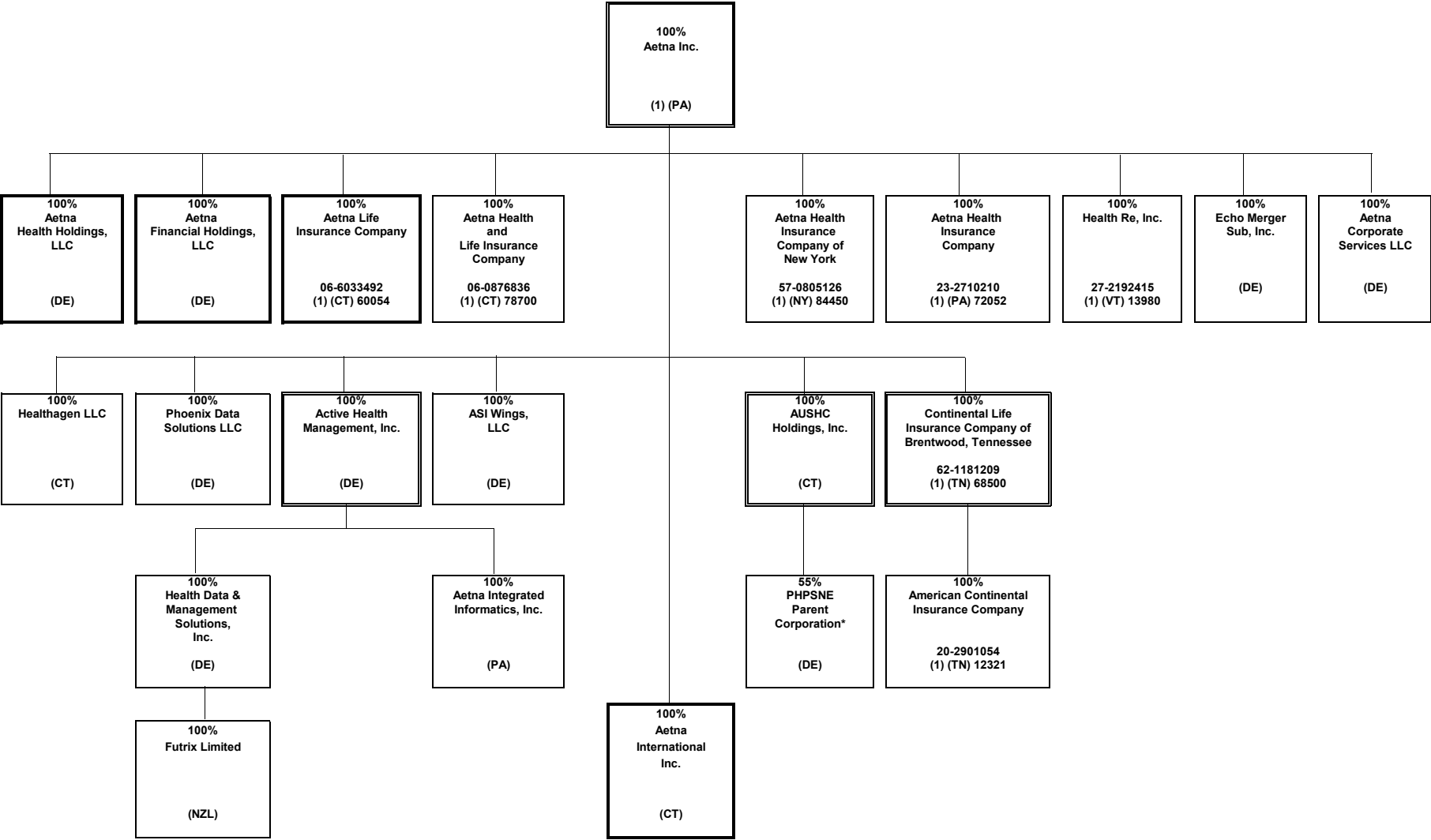
R - Registered - Non-domiciled RRGs.....0

Q - Qualified - Qualified or accredited reinsurer.....0

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



(1) Insurers/HMO's  
Percentages are rounded to the nearest whole percent and based on ownership of voting rights.  
Double borders indicate entity has subsidiaries shown on the same page.  
Bold borders indicate entity has subsidiaries shown on a separate page.

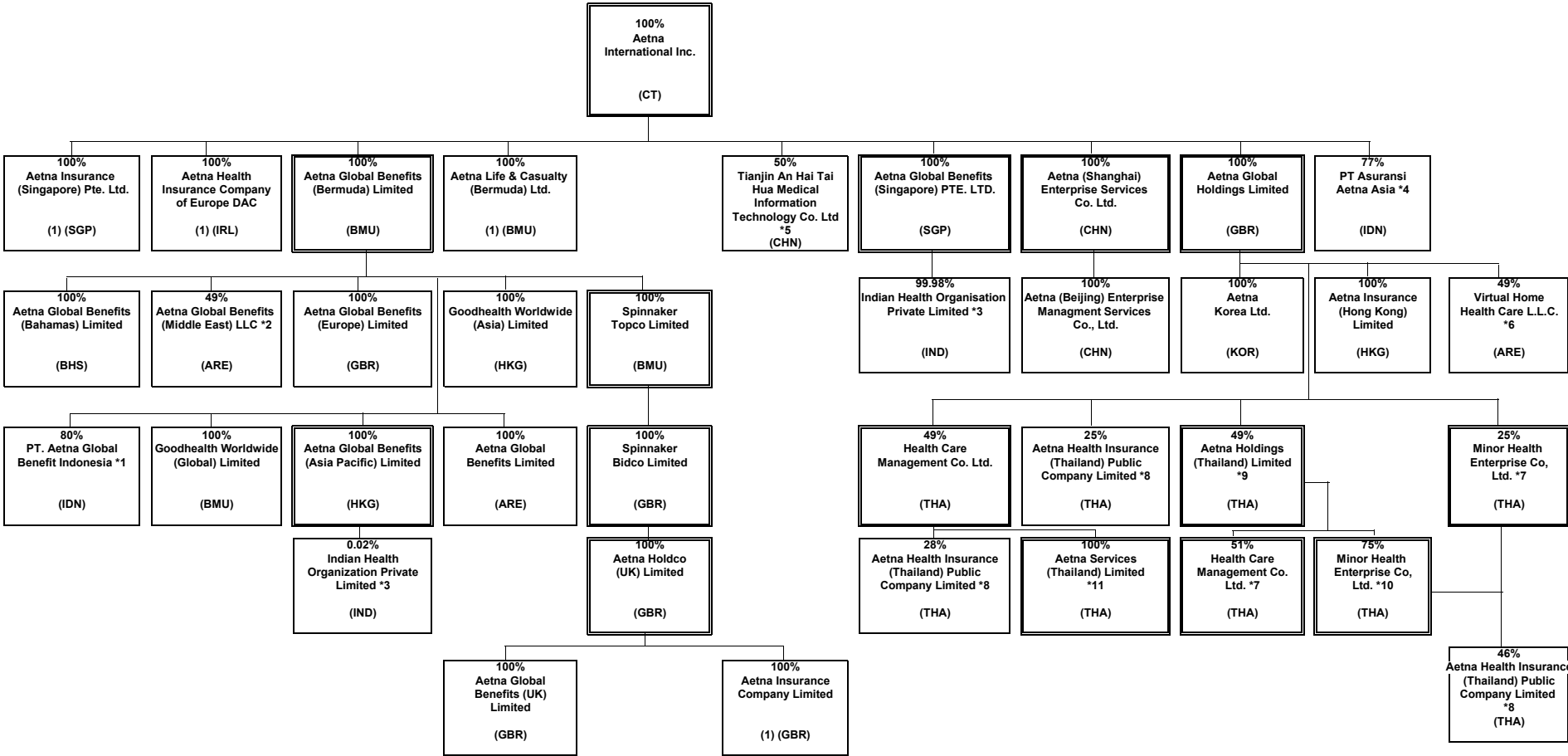
\* PHPSNE Parent Corporation is also 45% owned by third parties.



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

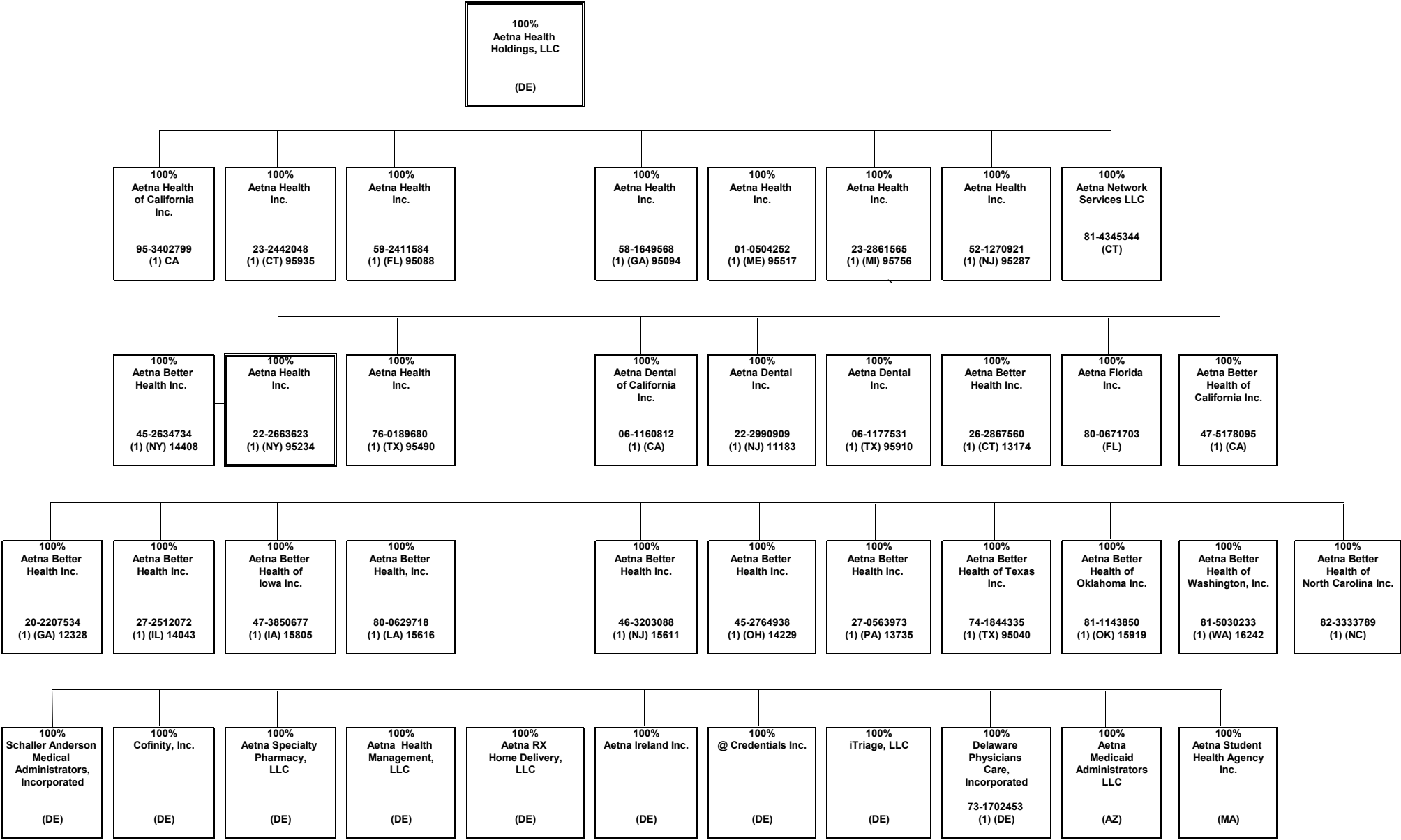


\*1 PT. Aetna Global Benefits Indonesia is also 20% owned by Suhatsyah Rivai, Aetna's Nominee.  
\*2 Aetna Global Benefits (Middle East) LLC is also 51% is owned by Euro Gulf LLC, Aetna's Nominee.  
\*3 Indian Health Organisation Private Limited is 0.019857% owned by Aetna Global Benefits (Asia Pacific) and 99.980143% owned by Aetna Global Benefits (Singapore) PTE. LTD.  
\*4 PT Asuransi Aetna Asia is also 23% owned by PT Asuransi Central Asia.  
\*5 Tianjin An Hai Tai Hua Medical Information Technology Co., Ltd is also 50% owned by Tianjin Hai Tai Group Co., Ltd  
\*6 Virtual Home Health Care L.L.C. is also 51% owned by CBD Commercial Brokers LLC  
\*7 Health Care Management Co. Ltd. is also owned by Aetna Global Benefits (Bermuda) Limited (1 share).  
\*8 Aetna Health Insurance (Thailand) Public Company Limited is also owned by Aetna Global Benefits (Bermuda) Limited (1 share), Mr. Sansanapongpherchar (1 Share), Mr. Jitphasong Itsaraphakded (1 Share); Mrs. Suphee Wattana (1 Share); and Mr. Buncha Tamphragom (1 Share)  
\*9 Aetna Holdings (Thailand) Limited is also 51% owned by Mr. Paiboon Sutantivorakoon plus Aetna Benefits (Bermuda) Limited owns 1 share.  
\*10 Minor Health Enterprise Co, Ltd is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited  
\*11 Aetna Services (Thailand) Limited is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

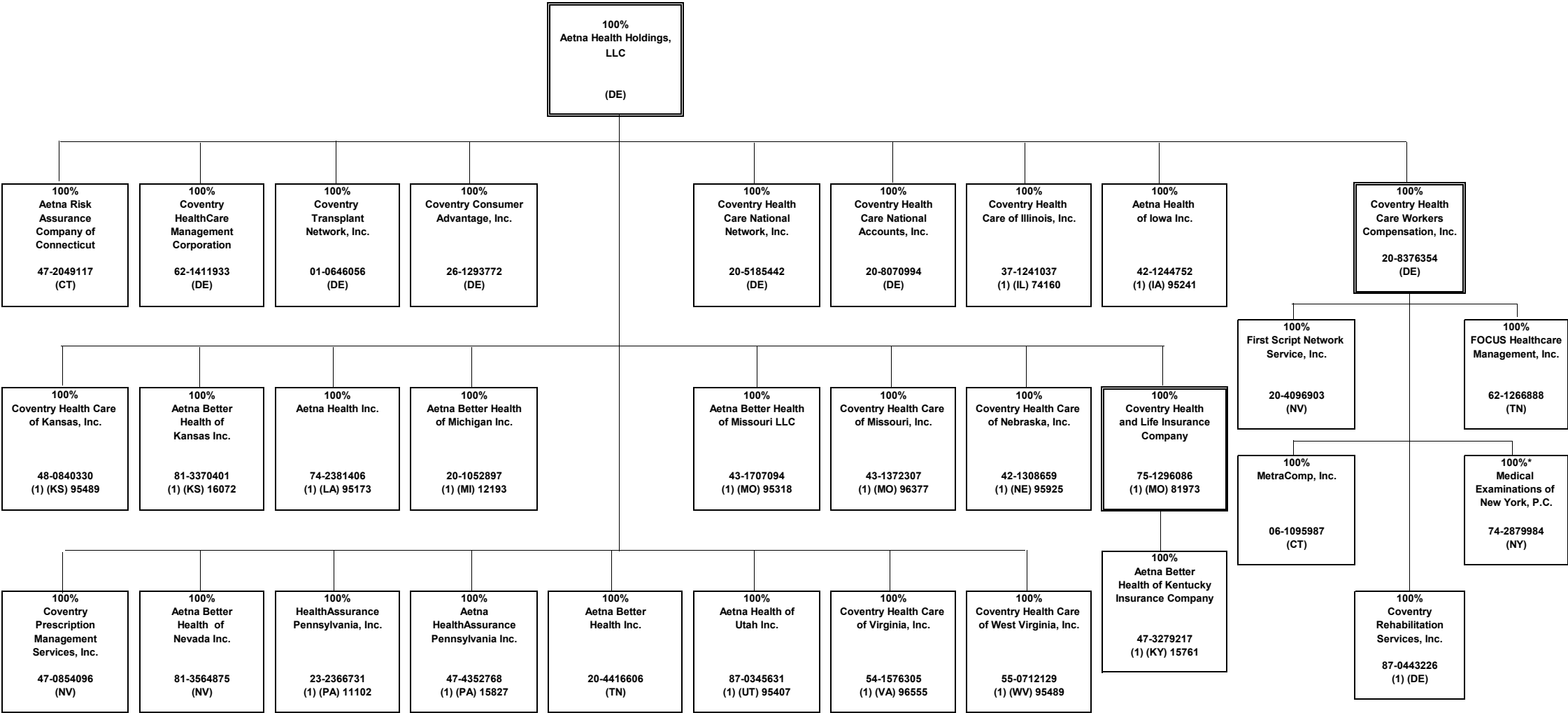
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

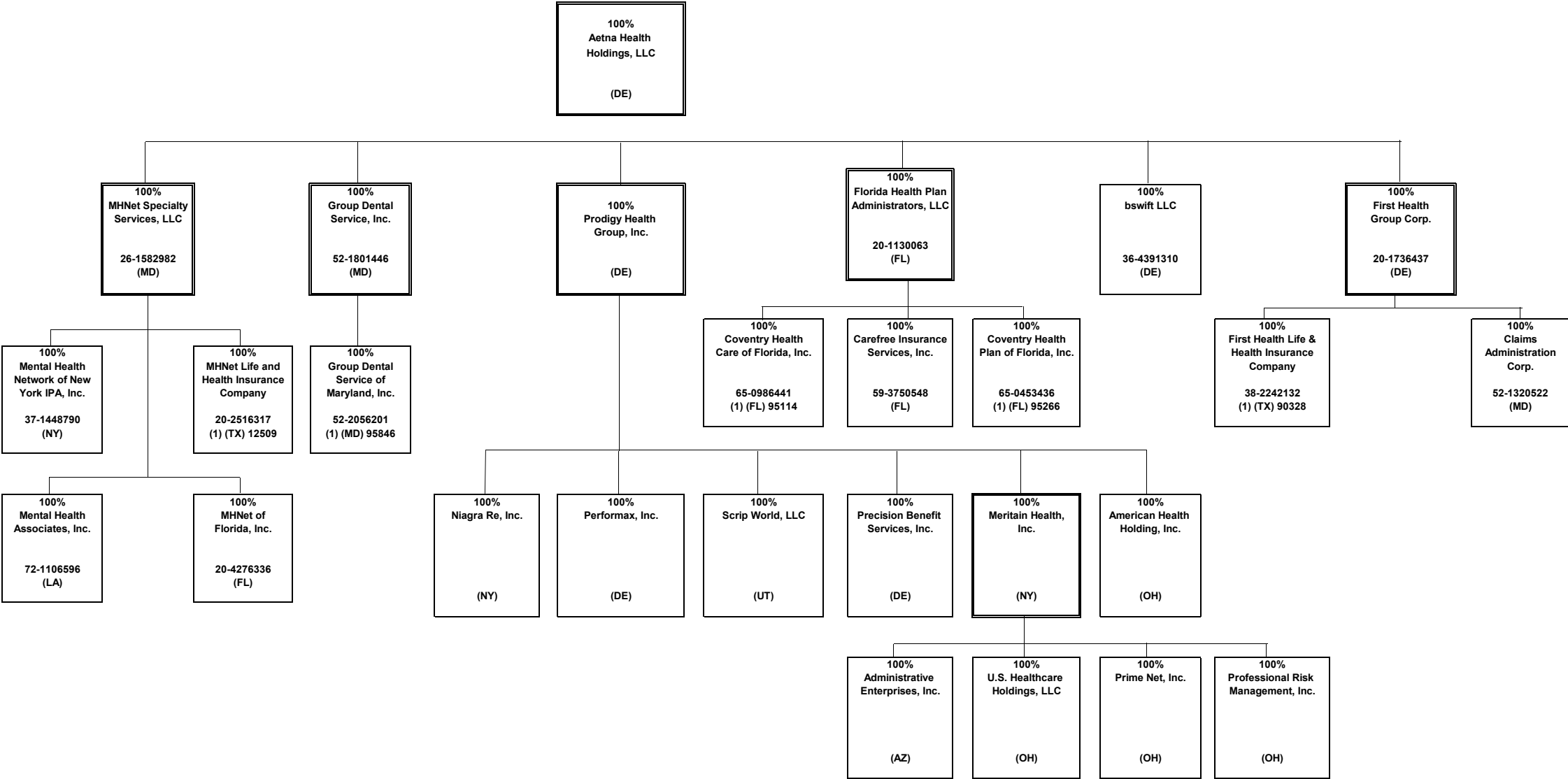


\*100% owned through Aetna's nominees

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

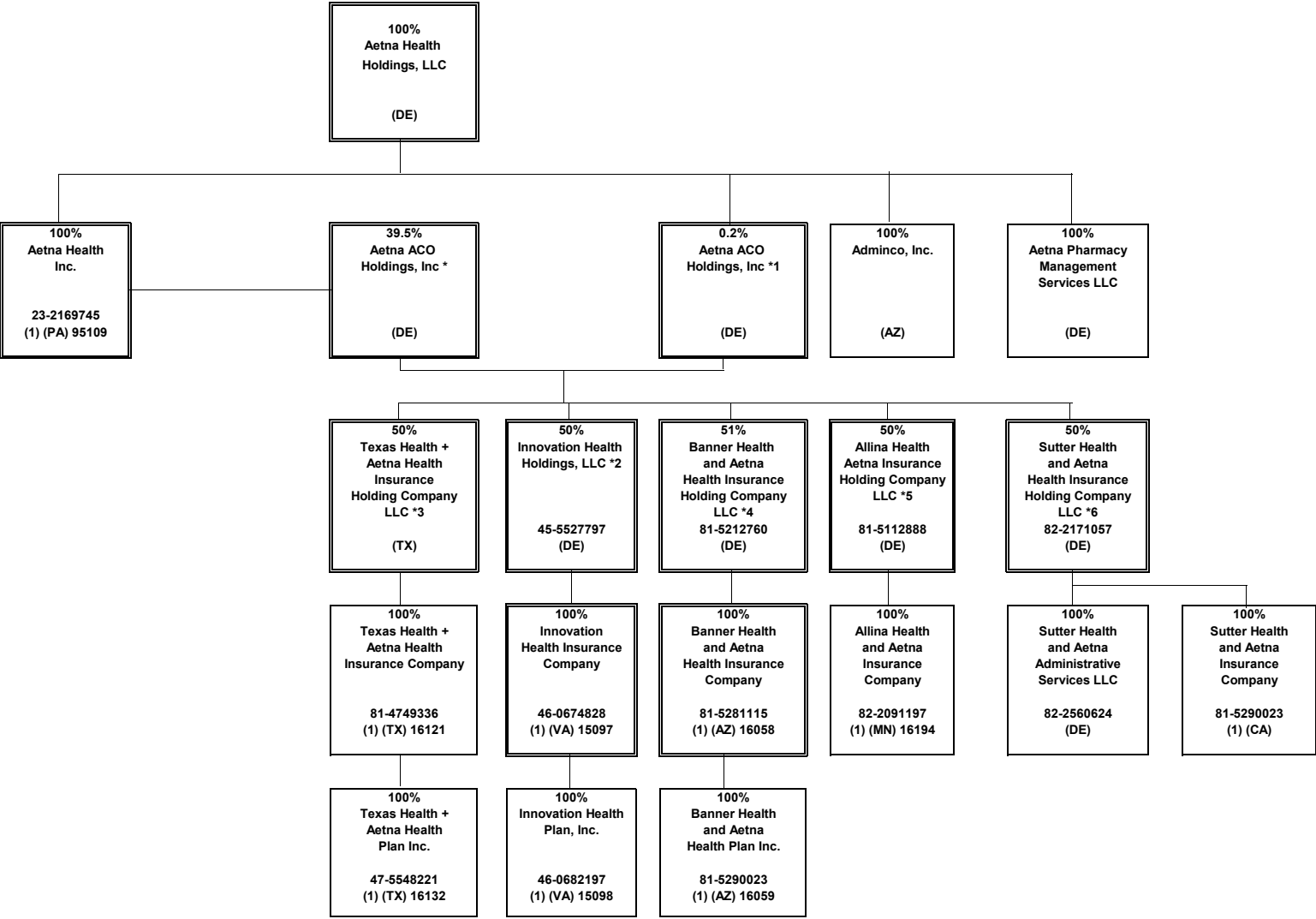
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



\*1 Aetna ACO Holdings Inc. is owned by Aetna Life Insurance Company (302 shares); Aetna Health Inc. (PA) (198 shares); and Aetna Health Holdings, LLC (1 share).

\*2 Innovation Health Holdings, LLC is also 50% owned by Inova Health System Foundation.

\*3 Texas Health + Aetna Health Insurance Holding Company LLC is also 50% owned by Texas Health Resources.

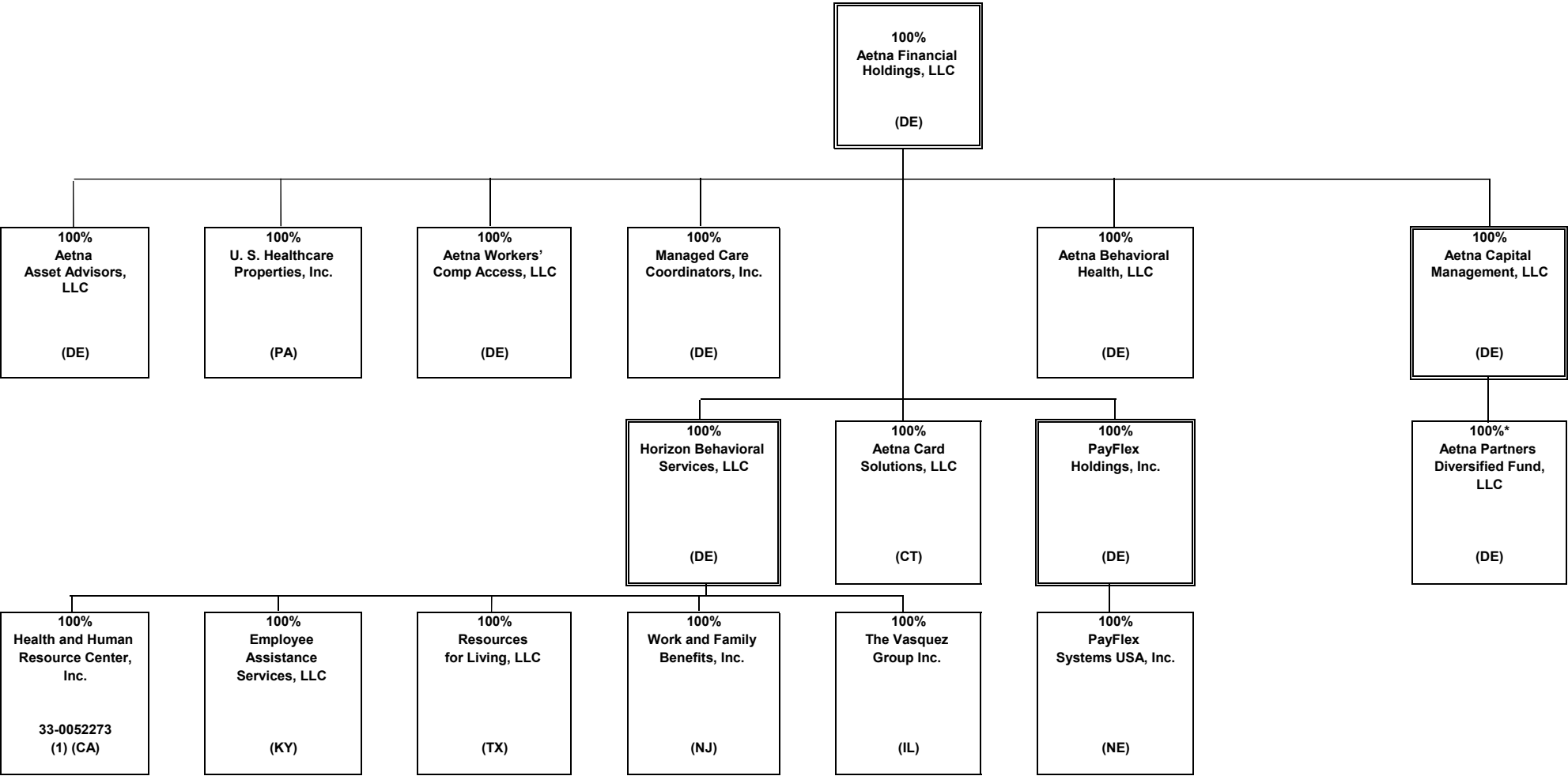
\*4 Banner Health and Aetna Health Insurance Holding Company LLC is also 49% owned by Banner Health.

\*5 Allina Health and Aetna Insurance Holding Company LLC is also 50% owned by Allina Health.

\*6 Sutter Health and Aetna Insurance Holding Company LLC is also 50% owned by Sutter Health Plan Products Organization, LLC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

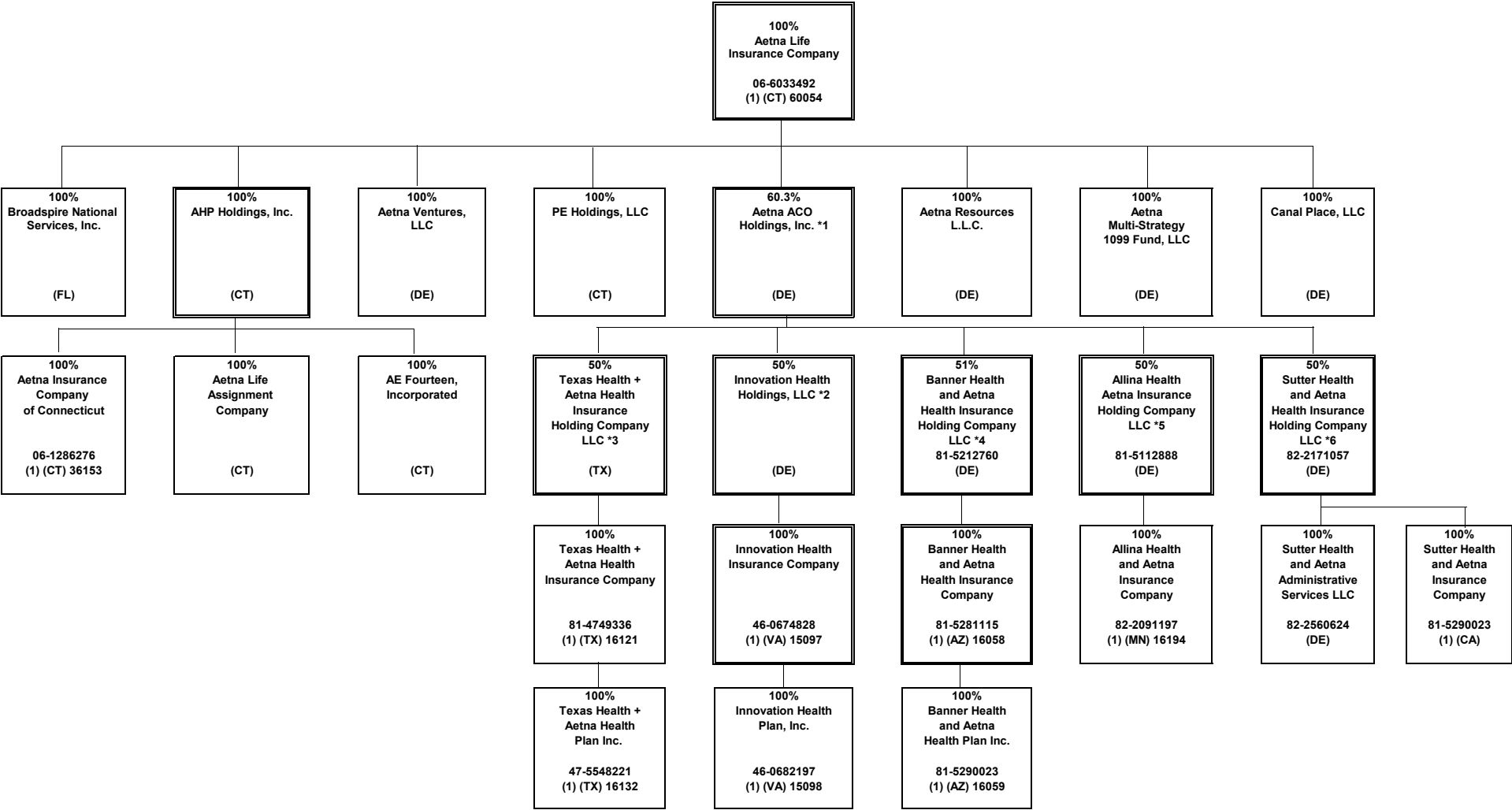


\* Aetna Life Insurance Company, Aetna Health and Life Insurance Company and Aetna Health Management , LLC own substantially all of the non-managing member interests of Aetna Partners Diversified Fund, LLC.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



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SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0001	AETNA INC.	.00000	23-2229683	3060706	0001122304	N	Aetna Inc.	PA	UIP	Aetna Inc.		0.000		.N	.0
.0001	AETNA INC.	.00000	30-0123754	0	0		Aetna Health Holdings, LLC	DE	UDP	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	95-3402799	0	0		Aetna Health of California Inc.	CA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95935	23-2442048	0	0		Aetna Health Inc.	CT	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95088	59-2411584	0	0		Aetna Health Inc.	FL	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95094	58-1649568	0	0		Aetna Health Inc.	GA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95517	01-0504252	0	0		Aetna Health Inc.	ME	RE	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95756	23-2861565	0	0		Aetna Health Inc.	MI	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95287	52-1270921	0	0		Aetna Health Inc.	NJ	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95234	22-2663623	0	0		Aetna Health Inc.	NY	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.14408	45-2634734	0	0		Aetna Better Health Inc.	NY	IA	Aetna Health Inc. (NY)	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95490	76-0189680	0	0		Aetna Health Inc.	TX	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95040	74-1844335	0	0		Aetna Better Health of Texas Inc.	TX	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.12328	20-2207534	0	0		Aetna Better Health Inc.	GA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	06-1160812	0	0		Aetna Dental of California Inc.	CA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.11183	22-2990909	0	0		Aetna Dental Inc.	NJ	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95910	06-1177531	0	0		Aetna Dental Inc.	TX	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	30-0123760	0	0		Aetna Rx Home Delivery, LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	13-3670795	0	0		Aetna Health Management, LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	22-3187443	0	0		Aetna Ireland Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	57-1209768	0	0		Aetna Specialty Pharmacy, LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	20-1274723	0	0		Cofinity, Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	23-2671370	0	0		@Credentials Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Services (Thailand) Limited	THA	NIA	Health Care Management Co. Ltd.	Ownership	100.000	Aetna Inc.	.N	.20
.0001	AETNA INC.	.13735	27-0563973	0	0		Aetna Better Health Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.13174	26-2867560	0	0		Aetna Better Health Inc.	CT	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	47-5178095	0	0		Aetna Better Health of California Inc.	CA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.14043	27-2512072	0	0		Aetna Better Health Inc.	IL	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.15805	47-3850677	0	0		Aetna Better Health of Iowa Inc.	IA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.15616	80-0629718	0	0		Aetna Better Health, Inc.	LA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	80-0671703	0	0		Aetna Florida Inc.	FL	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.14229	25-2764938	0	0		Aetna Better Health Inc.	OH	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.15611	46-3203088	0	0		Aetna Better Health Inc.	NJ	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.15919	81-1143850	0	0		Aetna Better Health of Oklahoma Inc.	OK	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	04-2708160	0	0		Aetna Student Health Agency Inc.	MA	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	73-1702453	0	0		Delaware Physicians Care, Incorporated	DE	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	01-0826783	0	0		Schaller Anderson Medical Administrators, Incorporated	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	86-0842559	0	0		Aetna Medicaid Adminstrators LLC	AZ	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	45-2944270	0	0		iTriage, LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	16-1471176	0	0		Prodigy Health Group, Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	45-4901541	0	0		Aetna ACO Holdings, Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	0.200	Aetna Inc.	.Y	.3
.0001	AETNA INC.	.00000	45-5527797	0	0		Innovation Health Holdings, LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	50.000	Aetna Inc.	.N	.6
.0001	AETNA INC.	.15097	46-0674828	0	0		Innovation Health Insurance Company	VA	IA	Innovation Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.15098	46-0682197	0	0		Innovation Health Plan, Inc.	VA	IA	Innovation Health Insurance Company	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	81-3789357	0	0		Texas Health + Aetna Health Insurance Holding Company LLC	TX	NIA	Aetna ACO Holdings, Inc.	Ownership	50.000	Aetna Inc.	.N	.9
.0001	AETNA INC.	.16121	81-4749336	0	0		Texas Health + Aetna Health Insurance Company	TX	IA	Texas Health + Aetna Health Insurance Holding Company LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.16132	47-5548221	0	0		Texas Health + Aetna Health Plan Inc.	TX	IA	Texas Health + Aetna Health Insurance Company	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95109	23-2169745	0	0		Aetna Health Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	45-4901541	0	0		Aetna ACO Holdings, Inc.	DE	NIA	Aetna Health Inc. (PA)	Ownership	39.500	Aetna Inc.	.Y	.3
.0001	AETNA INC.	.00000	20-0438576	0	0		Niagara Re, Inc.	NY	NIA	Prodigy Health Group, Inc.	Ownership	100.000	Aetna Inc.	.N	.0



SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0001	AETNA INC.	.00000	52-2200070	0	0		Performax, Inc.	DE	NIA	Prodigy Health Group, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	87-0632355	0	0		Scrip World, LLC	UT	NIA	Prodigy Health Group, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	27-1760756	0	0		Precision Benefit Services, Inc.	DE	NIA	Prodigy Health Group, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	31-1368946	0	0		American Health Holding, Inc.	OH	NIA	Prodigy Health Group, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	16-1264154	0	0		Meritain Health, Inc.	NY	NIA	Prodigy Health Group, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	86-0537707	0	0		Adminco, Inc.	AZ	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	86-0527428	0	0		Administrative Enterprises, Inc.	AZ	NIA	Meritain Health, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	16-1684061	0	0		U.S. Healthcare Holdings, LLC	OH	NIA	Meritain Health, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	34-1670299	0	0		Prime Net, Inc.	OH	NIA	Meritain Health, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	34-1348032	0	0		Professional Risk Management, Inc.	OH	NIA	Meritain Health, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.68500	62-1181209	0	0		Continental Life Insurance Company of Brentwood, Tennessee	TN	IA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.12321	20-2901054	0	0		American Continental Insurance Company	TN	IA	Continental Life Insurance Company of Brentwood, Tennessee	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.60054	06-6033492	0	0		Aetna Life Insurance Company	CT	IA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	45-4901541	0	0		Aetna ACO Holdings, Inc.	DE	NIA	Aetna Life Insurance Company	Ownership	80.300	Aetna Inc.	.Y	.3
.0001	AETNA INC.	.00000	06-1270755	0	0		AHP Holdings, Inc.	CT	NIA	Aetna Life Insurance Company	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.36153	06-1286276	0	0		Aetna Insurance Company of Connecticut	CT	IA	AHP Holdings, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	06-1028469	0	0		AE Fourteen, Incorporated	CT	NIA	AHP Holdings, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	06-1373153	0	0		Aetna Life Assignment Company	CT	NIA	AHP Holdings, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	20-3678339	0	0		PE Holdings, LLC	CT	NIA	Aetna Life Insurance Company	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	06-1423207	0	0		Aetna Resources L.L.C.	DE	NIA	Aetna Life Insurance Company	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Canal Place, LLC	DE	NIA	Aetna Life Insurance Company	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	20-3180700	0	0		Aetna Ventures, LLC	DE	NIA	Aetna Life Insurance Company	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	59-2108747	0	0		Broadspire National Services, Inc.	FL	NIA	Aetna Life Insurance Company	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0001552250		Aetna Multi-Strategy 1099 Fund, LLC	DE	NIA	Aetna Life Insurance Company	Ownership	79.250	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	41-2035961	0	0		Aetna Financial Holdings, LLC	DE	NIA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	26-2030792	0	0		Aetna Asset Advisors, LLC	DE	NIA	Aetna Financial Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	23-2354500	0	0		U.S. Healthcare Properties, Inc.	PA	NIA	Aetna Financial Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	38-3704481	0	0		Aetna Capital Management, LLC	DE	NIA	Aetna Financial Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	11-3667142	0	0	0001314522	Aetna Partners Diversified Fund, LLC	DE	NIA	Aetna Capital Management, LLC	Ownership	100.000	Aetna Inc.	.N	.1
.0001	AETNA INC.	.00000	20-0446676	0	0		Aetna Workers' Comp Access, LLC	DE	NIA	Aetna Financial Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	20-0446713	0	0		Aetna Behavioral Health, LLC	DE	NIA	Aetna Financial Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	23-2670015	0	0		Managed Care Coordinators, Inc.	DE	NIA	Aetna Financial Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	59-3269144	0	0		Horizon Behavioral Services, LLC	DE	NIA	Aetna Financial Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	61-1193498	0	0		Employee Assistance Services, LLC	KY	NIA	Horizon Behavioral Services, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	33-0052273	0	0		Health and Human Resource Center, Inc.	CA	IA	Horizon Behavioral Services, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	75-2420973	0	0		Resources for Living, LLC	TX	NIA	Horizon Behavioral Services, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	36-3681261	0	0		The Vasquez Group Inc.	IL	NIA	Horizon Behavioral Services, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	22-3178125	0	0		Work and Family Benefits, Inc.	NJ	NIA	Horizon Behavioral Services, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	27-1773021	0	0		Aetna Card Solutions, LLC	CT	NIA	Aetna Financial Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	20-5216478	0	0		PayFlex Holdings, Inc.	DE	NIA	Aetna Financial Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	91-1774434	0	0		PayFlex Systems USA, Inc.	NE	NIA	PayFlex Holdings, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.78700	06-0876836	0	0		Aetna Health and Life Insurance Company	CT	IA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.72052	23-2710210	0	0		Aetna Health Insurance Company	PA	IA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.84450	57-0805126	0	0		Aetna Health Insurance Company of New York	NY	IA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	06-1571642	0	0		Aetna International Inc.	CT	NIA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	98-0211470	0	0		Aetna Life & Casualty (Bermuda) Ltd.	BMU	IA	Aetna International Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Insurance (Singapore) PTE. LTD.	SGP	IA	Aetna International Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Global Benefits (Bermuda) Limited	BMU	NIA	Aetna International Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Goodhealth Worldwide (Global) Limited	BMU	NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Global Benefits (Europe) Limited	GBR	NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Goodhealth Worldwide (Asia) Limited	HKG	NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Global Benefits Limited	ARE	NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.000	Aetna Inc.	.N	.0

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0001	AETNA INC.	.00000		0	0		PT. Aetna Global Benefits Indonesia	.IDN	.NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	80.000	Aetna Inc.	.N	.4
.0001	AETNA INC.	.00000		0	0		Aetna Global Benefits (Middle East) LLC	.ARE	.NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	49.000	Aetna Inc.	.N	.5
							Aetna Global Benefits (Asia Pacific) Limited								
.0001	AETNA INC.	.00000		0	0		Spinnaker Topco Limited	.HKG	.NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Spinnaker Bidco Limited	.BMU	.NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Holdco (UK) Limited	.GBR	.NIA	Spinnaker Bidco Limited	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		PT Asuransi Aetna Asia	.IDN	.NIA	Aetna International Inc.	Ownership	77.000	Aetna Inc.	.N	.13
.0001	AETNA INC.	.00000		0	0		Aetna Global Benefits (UK) Limited	.GBR	.NIA	Aetna Holdco (UK) Limited	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Insurance Company Limited	.GBR	.IA	Aetna Holdco (UK) Limited	Ownership	100.000	Aetna Inc.	.N	.0
							Aetna Global Benefits (Asia Pacific) Limited								
.0001	AETNA INC.	.00000		0	0		Indian Health Organisation Private Limited	.IND	.NIA	Limited	Ownership	0.020	Aetna Inc.	.N	.2
.0001	AETNA INC.	.00000		0	0		Aetna Health Insurance Company of Europe DAC								
							Aetna (Shanghai) Enterprise Services Co. Ltd.	.IRL	.IA	Aetna International Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna (Beijing) Enterprise Management Services Co., Ltd.	.CHN	.NIA	Aetna International Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Global Benefits (Singapore) PTE. LTD.	.CHN	.NIA	Aetna (Shanghai) Enterprise Services Co. Ltd.	Ownership	100.000	Aetna Inc.	.N	.0
							Aetna Global Benefits (Singapore) PTE, LTD.	.SGP	.NIA	Aetna International Inc.	Ownership	100.000	Aetna Inc.	.N	.0
							Indian Health Organisation Private Limited								
.0001	AETNA INC.	.00000	22-2578985	0	0		AUSHC Holdings, Inc.	.IND	.NIA	Aetna Global Benefits (Singapore) PTE, LTD.	Ownership	99.980	Aetna Inc.	.N	.2
.0001	AETNA INC.	.00000		0	0		Aetna Global Holdings Limited	.CT	.NIA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Korea Ltd.	.GBR	.NIA	Aetna International Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Insurance (Hong Kong) Limited	.KOR	.NIA	Aetna Global Holdings Limited	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Futrix Limited	.HKG	.NIA	Aetna Global Holdings Limited	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	06-1182176	0	0		PHPSNE Parent Corporation	.NZL	.NIA	Health Data & Management Solutions, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	52-2182411	0	0		Active Health Management, Inc.	.DE	.NIA	AUSHC Holdings, Inc.	Ownership	55.000	Aetna Inc.	.N	.7
.0001	AETNA INC.	.00000	47-0970432	0	0		Health Data & Management Solutions, Inc.	.DE	.NIA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	23-2604867	0	0		Aetna Integrated Informatics, Inc.	.DE	.NIA	Active Health Management, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.13980	27-2192415	0	0		Health Re, Inc.	.PA	.NIA	Active Health Management, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	81-0579372	0	0		Phoenix Data Solutions LLC	.VT	.IA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	46-2469464	0	0		Healthagen LLC	.DE	.NIA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	51-0029326	0	0		ASI Wings, LLC	.CT	.NIA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Echo Merger Sub, Inc.	.DE	.NIA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000		0	0		Aetna Corporate Services, LLC	.DE	.NIA	Aetna Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.81973	75-1296086	0	0		Coventry Health and Life Insurance Company	.MO	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
							Aetna Better Health of Kentucky Insurance Company			Coventry Health and Life Insurance Company					
.0001	AETNA INC.	.15761	47-3279217	0	0		Group Dental Service, Inc.	.KY	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	52-1801446	0	0		Group Dental Service of Maryland, Inc.	.MD	.NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95846	52-2056201	0	0		Aetna Network Services LLC	.MD	.IA	Group Dental Service, Inc.	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.		81-4345344	0	0		Aetna Health of Iowa Inc.	.CT	.NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95241	42-1244752	0	0		Coventry Health Care of Nebraska, Inc.	.IA	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95925	42-1308659	0	0		Aetna Risk Assurance Company of Connecticut Inc.	.NE	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	47-2049117	0	0		Aetna Health Inc.	.CT	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.95173	74-2381406	0	0		HealthAssurance Pennsylvania, Inc.	.LA	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.11102	23-2366731	0	0		Coventry Prescription Management Services, Inc.	.PA	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	47-0854096	0	0		Aetna Better Health of Nevada Inc.	.NV	.NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.16148	81-3564875	0	0		Coventry Health Care of Virginia, Inc.	.NV	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.96555	54-1576305	0	0		Coventry Transplant Network, Inc.	.VA	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.00000	01-0646056	0	0		Coventry Health Care of Missouri, Inc.	.DE	.NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0
.0001	AETNA INC.	.96377	43-1372307	0	0			.MO	.IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	.N	.0

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0001	AETNA INC.	95318	43-1702094	0	0		Aetna Better Health of Missouri LLC	MO	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	95408	55-0712129	0	0		Coventry Health Care of West Virginia, Inc.	WV	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	62-1411933	0	0		Coventry HealthCare Management Corporation	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	15827	47-4352768	0	0		Aetna HealthAssurance Pennsylvania, Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	95489	48-0840330	0	0		Coventry Health Care of Kansas, Inc.	KS	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	16072	81-3370401	0	0		Aetna Better Health of Kansas Inc.	KS	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	12193	20-1052897	0	0		Aetna Better Health of Michigan Inc.	MI	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	95407	87-0345631	0	0		Aetna Health of Utah Inc.	UT	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	20-4416606	0	0		Aetna Better Health Inc.	TN	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	74160	37-1241037	0	0		Coventry Health Care of Illinois, Inc.	IL	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
							Coventry Health Care National Accounts, Inc.								
0001	AETNA INC.	00000	20-8070994	0	0			DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	20-5185442	0	0		Coventry Health Care National Network, Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	26-1293772	0	0		Coventry Consumer Advantage, Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
							Coventry Health Care Workers Compensation, Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	20-8376354	0	0			DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
							Coventry Health Care Workers' Compensation, Inc.								
0001	AETNA INC.	00000	20-4096903	0	0		First Script Network Service, Inc.	NV	NIA		Ownership	100.000	Aetna Inc.	N	0
							Coventry Health Care Workers' Compensation, Inc.								
0001	AETNA INC.	00000	06-1095987	0	0		MetraComp, Inc.	CT	NIA		Ownership	100.000	Aetna Inc.	N	0
							Coventry Health Care Workers' Compensation, Inc.								
0001	AETNA INC.	00000	74-2879984	0	0		Medical Examinations of New York, P.C.	NY	NIA		Ownership	100.000	Aetna Inc.	N	8
							Coventry Health Care Workers' Compensation, Inc.								
0001	AETNA INC.	00000	62-1266888	0	0		FOCUS Healthcare Management, Inc.	TN	NIA		Ownership	100.000	Aetna Inc.	N	0
							Coventry Health Care Workers' Compensation, Inc.								
0001	AETNA INC.	00000	87-0443226	0	0		Coventry Rehabilitation Services, Inc.	DE	NIA		Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	20-1736437	0	0		First Health Group Corp.	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
							First Health Life & Health Insurance Company								
0001	AETNA INC.	90328	38-2242132	0	0			TX	IA	First Health Group Corp.	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	52-1320522	0	0		Claims Administration Corp.	MD	NIA	First Health Group Corp.	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	20-1130063	0	0		Florida Health Plan Administrators, LLC	FL	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	95114	65-0986441	0	0		Coventry Health Care of Florida, Inc.	FL	IA	Florida Health Plan Administrators, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	95266	65-0453436	0	0		Coventry Health Plan of Florida, Inc.	FL	IA	Florida Health Plan Administrators, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	59-3750548	0	0		Carefree Insurance Services, Inc.	FL	NIA	Florida Health Plan Administrators, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	36-4391310	0	0		bswift LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	00000	0	0		Virtual Home Healthcare L.L.C.	ARE	NIA	Aetna Global Holdings Limited	Ownership	49.000	Aetna Inc.	N	17
0001	AETNA INC.	00000	26-1582982	0	0		MHNet Specialty Services, LLC	MD	NIA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	37-1448790	0	0		Mental Health Network of New York IPA, Inc.	NY	NIA	MHNet Specialty Services, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	12509	20-2516317	0	0		MHNet Life and Health Insurance Company	TX	IA	MHNet Specialty Services, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	72-1106596	0	0		Mental Health Associates, Inc.	LA	NIA	MHNet Specialty Services, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	00000	20-4276336	0	0		MHNet of Florida, Inc.	FL	IA	MHNet Specialty Services, LLC	Ownership	100.000	Aetna Inc.	N	0
0001	AETNA INC.	16242	81-5030233	0	0		Aetna Better Health of Washington, Inc.	WA	IA	Aetna Health Holdings, LLC	Ownership	100.000	Aetna Inc.	N	0
							Banner Health and Aetna Health Insurance Holding Company LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	51.000	Aetna Inc.	N	10
0001	AETNA INC.	16058	81-5281115	0	0		Banner Health and Aetna Health Insurance Company	AZ	IA	Banner Health and Aetna Health Insurance Holding Company LLC	Ownership	100.000	Aetna Inc.	N	0
							Banner Health and Aetna Health Insurance Company								
0001	AETNA INC.	16059	81-5290023	0	0		Banner Health and Aetna Health Plan Inc.	AZ	IA	Banner Health and Aetna Health Insurance Company	Ownership	100.000	Aetna Inc.	N	0
							Allina Health and Aetna Health Insurance Holding Company LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	50.000	Aetna Inc.	N	11
0001	AETNA INC.	00000	81-5112888	0	0					Allina Health and Aetna Health Insurance Holding Company LLC	Ownership	100.000	Aetna Inc.	N	0
							Sutter Health and Aetna Insurance Holding Company LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	50.000	Aetna Inc.	N	12

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
..0001	AETNA INC. ....	..00000	82-2560624	0 .....	0 .....	.....	Sutter Health and Aetna Administrative Services LLC .....	..DE.....	..NIA.....	Sutter Health and Aetna Insurance Holding Company LLC .....	Ownership.....	100.000	Aetna Inc. ....	..N.....	..0 .....
..0001	AETNA INC. ....	..00000	81-5290023	0 .....	0 .....	.....	Sutter Health and Aetna Insurance Company .....	..CA.....	..NIA.....	Sutter Health and Aetna Insurance Holding Company LLC .....	Ownership.....	100.000	Aetna Inc. ....	..N.....	..0 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Aetna Holdings (Thailand) Limited .....	..THA.....	..NIA.....	Aetna Global Holdings Limited .....	Ownership.....	49.000	Aetna Inc. ....	..N.....	..14 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Aetna Health Insurance (Thailand) Public Company Limited .....	..THA.....	..NIA.....	Aetna Global Holdings Limited .....	Ownership.....	25.000	Aetna Inc. ....	..N.....	..15 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Aetna Health Insurance (Thailand) Public Company Limited .....	..THA.....	..NIA.....	Minor Health Enterprise Co, Ltd. ....	Ownership.....	46.000	Aetna Inc. ....	..N.....	..15 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Aetna Health Insurance (Thailand) Public Company Limited .....	..THA.....	..NIA.....	Health Care Management Co. Ltd. ....	Ownership.....	28.000	Aetna Inc. ....	..N.....	..15 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Health Care Management Co. Ltd. ....	..THA.....	..NIA.....	Aetna Global Holdings Limited .....	Ownership.....	49.000	Aetna Inc. ....	..N.....	..19 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Minor Health Enterprise Co, Ltd. ....	..THA.....	..NIA.....	Aetna Global Holdings Limited .....	Ownership.....	25.000	Aetna Inc. ....	..N.....	..16 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Minor Health Enterprise Co, Ltd. ....	..THA.....	..NIA.....	Aetna Holdings (Thailand) Limited .....	Ownership.....	75.000	Aetna Inc. ....	..N.....	..16 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Health Care Management Co. Ltd. ....	..THA.....	..NIA.....	Aetna Holdings (Thailand) Limited .....	Ownership.....	51.000	Aetna Inc. ....	..N.....	..19 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Aetna Global Benefits (Bahamas) Limited .....	..BHS.....	..NIA.....	Aetna Global Benefits (Bermuda) Limited .....	Ownership.....	100.000	Aetna Inc. ....	..N.....	..0 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Aetna Pharmacy Management Services LLC .....	..DE.....	..NIA.....	Aetna Health Holdings, LLC .....	Ownership.....	100.000	Aetna Inc. ....	..N.....	..0 .....
..0001	AETNA INC. ....	..00000	82-3333789	0 .....	0 .....	.....	Aetna Better Health of North Carolina Inc. ....	..NC.....	..IA.....	Aetna Health Holdings, LLC .....	Ownership.....	100.000	Aetna Inc. ....	..N.....	..0 .....
..0001	AETNA INC. ....	..00000	.....	0 .....	0 .....	.....	Tianjin An Hai Tai Hua Medical Information Technology Co., Ltd .....	..CHN.....	..NIA.....	Aetna International Inc. ....	Ownership.....	50.000	Aetna Inc. ....	..N.....	..18 .....

Asterisk	Explanation
1 .....	Aetna Life Insurance Company, Aetna Health and Life Insurance Company and Aetna Health Management, LLC own substantially all of the non-managing membership interests. ....
2 .....	Indian Health Organisation Private Limited is 0.019857% owned by Aetna Global Benefits (Asia Pacific) and 99.980143% owned by Aetna Global Benefits (Singapore) PTE. LTD. ....
3 .....	Aetna ACO Holdings Inc. is owned by Aetna Life Insurance Company (302 shares); Aetna Health Inc. (PA) (198 shares); and Aetna Health Holdings, LLC (1 share). ....
4 .....	PT. Aetna Global Benefits Indonesia is also 20% owned by Suhatsyah Rivai, Aetna's Nominee. ....
5 .....	Aetna Global Benefits (Middle East) LLC is also 51% owned by Euro Gulf LLC, Aetna's Nominee. ....
6 .....	Innovation Health Holdings LLC. Is also 50% owned by Inova Health System Foundation. ....
7 .....	PHPSNE Parent Corporation is 55% owned by AUSHC Holdings, Inc. The remaining 45% is owned by thirteen different hospitals (non-affiliates) which are shareholders with varying degrees of ownership. ....
8 .....	Medical Examinations of New York, P.C. is 100% owned through Aetna's nominees. ....
9 .....	Texas Health + Aetna Health Insurance Holding Company LLC is also 50% owned by Texas Health Resources. ....
10 .....	Banner Health and Aetna Health Insurance Holding Company LLC is also 49% owned by Banner Health. ....
11 .....	Allina Health and Aetna Insurance Holding Company LLC is also 50% owned by Allina Health. ....
12 .....	Sutter Health and Aetna Insurance Holding Company LLC is also 50% owned by Sutter Health Plan Products Organization, LLC. ....
13 .....	PT Asuransi Aetna Asia is also 23% owned by PT Asuransi Central Asia. ....
14 .....	Aetna Holdings (Thailand) Limited is also 51% owned by Mr. Paiboon Sutantivorakoon plus Aetna Global Benefits (Bermuda) Limited owns 1 share ....
15 .....	Aetna Health Insurance (Thailand) Public Company Limited is also owned by Aetna Global Benefits (Bermuda) Limited (1 share), Mr. Sansanapongpherchar (1 share), Mr. Jitphasong Itsaraphakded (1 share); Mrs. Suphee Wattana (1 share); and Mr. Buncha Tamphragom (1 share) ....
16 .....	Minor Health Enterprise Co, Ltd is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited. ....
17 .....	Virtual Home Health Care L.L.C. is also 51% owned by CBD Commercial Brokers LLC. ....
18 .....	Tianjin An Hai Tai Hua Medical Information Technology Co., Ltd is also 50% owned by Tianjin Hai Tai Group Co., Ltd. ....
19 .....	Health Care Management Co. Ltd. Is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited. ....
20 .....	Aetna Services (Thailand) Limited is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited. ....

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....

NO

Explanation:

1. The data for this supplement is not required to be filed.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



**OVERFLOW PAGE FOR WRITE-INS**

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest paid and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	24,939,445	20,720,584
2. Cost of bonds and stocks acquired .....	7,870,435	6,493,495
3. Accrual of discount .....	75,236	110,717
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	(33,690)	17,128
6. Deduct consideration for bonds and stocks disposed of .....	6,124,579	2,397,018
7. Deduct amortization of premium .....	2,026	3,056
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	26,668	2,405
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	26,698,153	24,939,445
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12) .....	26,698,153	24,939,445

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	18,706,147	41,491,078	35,595,360	27,071	18,274,720	18,706,147	24,628,936	16,446,189
2. NAIC 2 (a) .....	7,957,262	1,995,990	0	2,741	7,493,930	7,957,262	9,955,993	8,493,256
3. NAIC 3 (a) .....	0	0	0	0	0	0	0	0
4. NAIC 4 (a) .....	0	0	0	0	0	0	0	0
5. NAIC 5 (a) .....	0	0	0	0	0	0	0	0
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds	26,663,409	43,487,068	35,595,360	29,812	25,768,650	26,663,409	34,584,929	24,939,445
PREFERRED STOCK								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	26,663,409	43,487,068	35,595,360	29,812	25,768,650	26,663,409	34,584,929	24,939,445

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$ 7,886,777 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0



SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Paid for Accrued Interest	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals		XX			

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	288
2. Cost of short-term investments acquired .....	0	258,493
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	0	258,781
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	0
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	18,485	3,613,872
2. Cost of cash equivalents acquired .....	69,480,246	108,367,132
3. Accrual of discount .....	51,993	51,196
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	(30)	(49)
6. Deduct consideration received on disposals .....	61,661,656	112,013,666
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	7,889,038	18,485
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	7,889,038	18,485

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
08652B-AA-7	BEST BUY CO INC SR UNSECURED 4.450% 10/01/28		09/25/2018	BANC AMERICA		996,080	1,000,000	0	2FE
913017-DB-2	UNITED TECHNOLOGIES CORP SR UNSECURED 3.650% 08/16/23		08/13/2018	MORGAN STANLEY		999,910	1,000,000	0	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						1,995,990	2,000,000	0	XXX
8399997. Total - Bonds - Part 3						1,995,990	2,000,000	0	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						1,995,990	2,000,000	0	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						1,995,990	XXX	0	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Aetna Health Inc. (a Maine corporation)

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

[illegible]

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule E - Part 1 - Month End Depository Balances  
**N O N E**

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]